



State of Illinois

ENVIRONMENTAL PROTECTION AGENCY

Sauget /
162221

Mary A. Gade, Director
217/785-8604

2200 Churchill Road, Springfield, IL 62794-9276

September 23, 1996

Mr. Valdas V. Adamkus
Regional Administrator
USEPA Region V
Attention: Assistance Section (MCG-10J)
77 West Jackson Blvd
Chicago, Illinois 60604-3590

COPY

Refer to: LPC# 1630200003
Grant # V005982-01
Sauget PRP Search
Superfund Cooperative Agreement

Dear Mr. Adamkus:

The Illinois Environmental Protection Agency is hereby requesting the closeout of the Sauget PRP Search under the Enforcement Management Cooperative Agreement. The amount remaining in the Cooperative Agreement is \$0.00.

If you have any questions please contact Tim Milbrandt at the above telephone number.

Respectfully,

William C. Child, Chief
Bureau of Land

APPLICATION FOR FEDERAL ASSISTANCE

1 TYPE OF SUBMISSION Application <input type="checkbox"/> Construction <input type="checkbox"/> Non Construction Preapplication <input type="checkbox"/> Construction <input checked="" type="checkbox"/> Non Construction		2 DATE SUBMITTED September 17, 1996	Applicant Identifier Sauget PRP search
3 DATE RECEIVED BY STATE		State Application Identifier 94-03-25-09	
4 DATE RECEIVED BY FEDERAL AGENCY		Federal Identifier V005982-01	

5 APPLICANT INFORMATION

Legal Name IL ENVIRONMENTAL PROTECTION AGENCY	Organizational Unit Bureau of Land
Address (give city, county, state and zip code) P.O. Box 19276 Springfield, IL 62794-9276 Sangamon County	Name and telephone number of the person to be contacted on matters involving this application (give area code) Timothy Milbrandt 217 / 782-3523
6 EMPLOYER IDENTIFICATION NUMBER (EIN) 37 - 6002057	7 TYPE OF APPLICANT (enter appropriate letter in box) A A State H Independent School Dist B County I State Controlled Institution of Higher Learning C Municipal J Private University D Township K Indian Tribe E Interstate L Individual F Intermunicipal M Profit Organization G Special District N Other (Specify) _____
8 TYPE OF APPLICATION <input type="checkbox"/> New <input type="checkbox"/> Continuation <input checked="" type="checkbox"/> Revision If Revision enter appropriate letter(s) in box(es) B <input type="checkbox"/> A Increase Award B Decrease Award C Increase Duration D Decrease Duration Other (Specify) _____	9 NAME OF FEDERAL AGENCY U.S. EPA, Region V
10 CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER 66 • 802	11 DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: IEPA is requesting a decrease and deobligation to the Sauget PRP Search under the Enforcement CA.
TITLE CERCLA (Superfund) P.L. 99-499	
17 AREAS AFFECTED BY PROJECT (CITIES, COUNTIES, STATES, ETC.) STATE OF ILLINOIS	

13 PROPOSED PROJECT

Start Date 09/27/94	Ending Date 9/30/95	14 CONGRESSIONAL DISTRICTS OF : a Applicant Illinois		b Project Statewide
15 ESTIMATED FUNDING			16 IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS ?	
a. Federal	\$.00	a. YES THIS PREAPPLICATION / APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON	
		21,955	DATE September 17, 1996	
b. Applicant	\$.00	b. NO <input type="checkbox"/> PROGRAM IS NOT COVERED BY E.O. 12372	
c. State	\$.00	<input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW	
d. Local	\$.00		
e. Other	\$.00		
f. Program Income	\$.00	17 IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT	
g. TOTAL	\$	21,955	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes" attach an explanation	

18 TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION / PREAPPLICATION ARE TRUE AND CORRECT. THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED

a Typed Name of Authorized Representative Mary A. Gade	b Title Director	c Telephone number 217 / 782-3397
d Signature of Authorized Representative <i>Mary A. Gade</i>		e Date Signed 9/26/96

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APPLICATION FOR FEDERAL ASSISTANCE (Short Form)

PART II - BUDGET DATA

<u>OBJECT CLASS CATEGORIES</u>	<u>CURRENT APPROVED BUDGET</u> (a)	<u>CHANGE REQUESTED</u> (b)	<u>NEW OR REVISED BUDGET</u> (c)
1. PERSONNEL	9,938	(9,938)	0
2. FRINGE BENEFITS	2,806	(2,806)	0
3. TRAVEL	3,465	(3,465)	0
4. EQUIPMENT	0		0
5. SUPPLIES	100	(100)	0
6. CONTRACTUAL	150	(150)	0
7. CONSTRUCTION	0	0	0
8. OTHER	0	0	0
9. TOTAL DIRECT CHARGES	16,549	(16,549)	0
10. INDIRECT CHARGES	5,406	(5,406)	14,321
11. TOTAL	21,955	(21,955)	0
12. FEDERAL SHARE	21,955	0	21,955
13. NON-FEDERAL SHARE	0	0	0
14. PROGRAM INCOME			

15. DETAIL ON INDIRECT COSTS:

TYPE OF RATE (mark one box)

☐ PROVISIONAL☐ PREDETERMINED☐ FINAL☒ FIXEDRATE 30.53%BASE \$ 53,837 TOTAL AMOUNT \$ 14,321

PART II
Program Narrative Statement
(Attach additional sheets, if necessary)

Final budget of State Expenditures and Remaining Budget for the Saugat PRP Search.



State of Illinois

ENVIRONMENTAL PROTECTION AGENCY

Mary A. Gade, Director

2200 Churchill Road, Springfield, IL 62794-9276

217/782-6760

CERTIFIED

P 036 052 169

March 29, 1994

V005594-01-46

Mr. Valdas V. Adamkus
Regional Administrator
U.S. Environmental Protection Agency
Region V
Mail Code 5RA-19
77 West Jackson Boulevard
Chicago, Illinois 60604-3590

RECEIVED
APR 4 1994

PROGRAM MANAGEMENT
& STATE RELATIONS SECTION

Refer to: LPC# 1630200005
Grant # V005982
Sauget Area 1 Sites
Superfund Cooperative Agreement

Refer to: [REDACTED]

Dear Mr. Adamkus:

Enclosed are two (2) amendments, one for the RP Oversight Cooperative Agreement for the Ilada Energy Site and another to the Enforcement Management Cooperative Agreement for the addition of the Sauget Area 1 Sites. We are requesting an increase in the amount of \$158,618 for the Ilada Energy Site and an increase in the amount of \$21,995 for the Sauget Area 1 Sites.

Included with these amendments are revised detailed budgets and scopes of work, breakdown by tasks and object class summaries.

If you have any questions please contact Tim Milbrandt at the above telephone number.

Respectfully,

William C. Child

William C. Child, Chief
Bureau of Land

cc: John Oaks - USEPA

APPLICATION FOR FEDERAL ASSISTANCE

OMB Approval No. 0348-0041

1. TYPE OF SUBMISSION: <input type="checkbox"/> Application <input type="checkbox"/> Preapplication <input type="checkbox"/> Construction <input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction <input type="checkbox"/> Non-Construction		2. DATE SUBMITTED		APPLICANT IDENTIFIER Enforcement Mgmt. Assistance	
3. DATE RECEIVED BY STATE		4. DATE RECEIVED BY FEDERAL AGENCY		FEDERAL IDENTIFIER V005982	
5. APPLICANT INFORMATION					
Legal Name ILLINOIS ENVIRONMENTAL PROTECTION AGENCY			Organizational Unit BUREAU OF LAND		
Address (give city, county, state and zip code) P.O. BOX 19276 SPRINGFIELD, ILLINOIS 62794-9276 SANGAMON COUNTY			Name and telephone number of the person to be contacted on matters involving this application (give area code) Tim Milbrandt 217/782-6760		
6. EMPLOYER IDENTIFICATION NUMBER (EIN): 37 - 6002057			7. TYPE OF APPLICANT: (enter appropriate letter in box) A A State H Independent School Dist. B County I State Controlled Institution of Higher Learning C Municipal J Private University D Township K Indian Tribe E Interstate L Individual F Intermunicipal M Profit Organization G Social District N Other (Specify)		
8. TYPE OF APPLICATION: <input type="checkbox"/> New <input type="checkbox"/> Continuation <input checked="" type="checkbox"/> Revision 1. Revision enter appropriate letter(s) in boxes: A <input type="checkbox"/> A Increase Award B Decrease Award C Increase Duration D Decrease Duration Other (specify):			9. NAME OF FEDERAL AGENCY: U.S.EPA, REGION V		
10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: 66 - 806 Title CERCLA (Superfund) P.L. 99-499			11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: Addition of new site to the enforcement management cooperative agreement. Additional funds required are 21,955.		
12. AREAS AFFECTED BY PROJECT (cities, counties, states, etc.) STATE OF ILLINOIS					
13. PROPOSED PROJECT Start Date Ending Date		14. CONGRESSIONAL DISTRICTS OF a. Applicant: ILLINOIS b. Project: STATEWIDE			
15. ESTIMATED FUNDING:		16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?			
a. Federal	\$ 21,955.00	a. YES THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON DATE March 10, 1994			
b. Applicant	\$.00	b. NO <input type="checkbox"/> PROGRAM IS NOT COVERED BY E.O. 12372			
c. State	\$.00	<input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW			
d. Local	\$.00				
e. Other	\$.00				
f. Program Income	\$.00	17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?			
g. TOTAL	\$ 21,955.00	<input type="checkbox"/> Yes If "Yes," attach an explanation. <input type="checkbox"/> No			
18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT. THE DOCUMENT HAS BEEN FULLY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED					
a. Typed Name of Authorized Representative MARY A. GADE		b. Title DIRECTOR		c. Telephone number 217/782-3397	
d. Signature of Authorized Representative <i>Mary A. Gade</i>				e. Date signed 5/28/94	

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BUDGET INFORMATION — — — Non Construction Programs

SECTION A — BUDGET SUMMARY

Grant program function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non Federal (d)	Federal (e)	Non Federal (f)	Total (g)
1. Sauget Area 1 Sites	66-802	\$ 0	\$ 0	\$ 21,955	\$ 0	\$ 21,955
2.						0
3.						0
						0
5. TOTALS		\$ 0	\$ 0	\$ 21,955	\$ 0	\$ 21,955

SECTION B — BUDGET CATEGORIES

6. Object Class Categories	GRANT PROGRAM, Function or Activity				Total (5)
	(1) Hada Energy Site	(2)	(3)	(4)	
a. Personnel	\$ 9,938				\$ 9,938
b. Fringe Benefits	2,896				2,896
c. Travel	3,465				3,465
d. Equipment	0				0
e. Supplies	100				100
f. Contractual	150				150
g. Construction	0				0
h. Other	0				0
i. Total Direct Charges (sum of 6a - 6h)	16,549	0	0	0	16,549
j. Indirect Charges	5,406				5,406
k. TOTALS (sum of 6i - 6j)	\$ 21,955	\$ 0	\$ 0	\$ 0	\$ 21,955
7 Program income					

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SECTION C - NON FEDERAL RESOURCES

Grant Program	(b) Applicant	(c) State	(d) Other Sources	(e) TOTALS
8. Saugnet Area 1 Sites	\$ 0	\$ 0	\$ 0	\$ 0
9.				0
10.				0
11.				0
12. TOTALS (Sum of lines 8 and 11)	\$ 0	\$ 0	\$ 0	\$ 0

SECTION D - FORECASTED CASH NEEDS

	Total for 1st Year	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
13. Federal	\$ 21,955	\$ 5,489	\$ 5,489	\$ 5,489	\$ 5,488
14. NonFederal		0	0	0	0
15. TOTAL (Sum of lines 13 and 14)	\$ 21,955	\$ 5,489	\$ 5,489	\$ 5,489	\$ 5,488

SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT

(a) Grant Program	FUTURE FUNDING PERIODS (Year)			
	(b) First	(c) Second	(d) Third	(e) Fourth
16.				
17.				
18.				
20. TOTALS (Sum of lines 16 and 19)	\$ 0	\$ 0	\$ 0	\$ 0

SECTION F - OTHER BUDGET INFORMATION

(Attach additional Sheets if Necessary)

21. Direct Charges:	22. Indirect Charges	SEE ATTACHED
23. Remarks		

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INSTRUCTIONS FOR THE SF-424A

General Instructions

This form is designed so that application can be made for funds from one or more grant programs. In preparing the budget, adhere to any existing grantor agency guidelines which prescribe whether budgeted amounts should be shown for different functions or activities in the program. For some programs, grantor agencies may require budgets to be separately shown by function or activity. For other programs, grantor agencies may require a breakdown by function or activity. Sections A, B, C, and D should include budget estimates for the whole project except when applying for assistance which requires Federal authorization in annual or other funding period increments. In the latter case, Sections A, B, C, and D should provide the budget for the first budget period (usually a year) and Section E should present the need for Federal assistance in the subsequent budget periods. All applications should contain a breakdown by the object class categories shown in Lines a-k of Section B.

Section A. Budget Summary Lines 1-4, Columns (a) and (b)

For applications pertaining to a *single* Federal grant program (Federal Domestic Assistance Catalog number) and *not requiring* a functional or activity breakdown, enter on Line 1 under Column (a) the catalog program title and the catalog number in Column (b).

For applications pertaining to a *single* program *requiring* budget amounts by multiple functions or activities, enter the name of each activity or function on each line in Column (a), and enter the catalog number in Column (b). For applications pertaining to multiple programs where none of the programs require a breakdown by function or activity, enter the catalog program title on each line in Column (a) and the respective catalog number on each line in Column (b).

For applications pertaining to *multiple* programs where one or more programs *require* a breakdown by function or activity, prepare a separate sheet for each program requiring the breakdown. Additional sheets should be used when one form does not provide adequate space for all breakdown of data required. However, when more than one sheet is used, the first page should provide the summary totals by programs.

Lines 1-4, Columns (c) through (g.)

For *new applications*, leave Columns (c) and (d) blank. For each line entry in Columns (a) and (b), enter in Columns (e), (f), and (g) the appropriate amounts of funds needed to support the project for the first funding period (usually a year).

Lines 1-4, Columns (c) through (g.) (continued)

For *continuing grant program applications*, submit these forms before the end of each funding period as required by the grantor agency. Enter in Columns (c) the estimated amounts of funds which will be obligated at the end of the grant funding period only if the Federal grantor agency instructions provide for this. Otherwise, leave these columns blank. Enter in columns (e) and (f) the amounts of funds needed for the upcoming period. The amount(s) in Column (g) should be the sum of amounts in Columns (e) and (f).

For *supplemental grants and changes* to existing grants, do not use Columns (c) and (d). Enter in Column (e) the amount of the increase or decrease of Federal funds and enter in Column (f) the amount of the increase or decrease of non-Federal funds. In Column (g) enter the new total budgeted amount (Federal and non-Federal) which includes the total previous authorized budgeted amounts plus or minus, as appropriate, the amounts shown in Columns (e) and (f). The amount(s) in Column (g) should not equal the sum of amounts in Columns (e) and (f).

Line 5 — Show the totals for all columns used.

Section B Budget Categories

In the column headings (1) through (4), enter the titles of the same programs, functions, and activities shown on Lines 1-4, Column (a), Section A. When additional sheets are prepared for Section A, provide similar column headings on each sheet. For each program, function or activity, fill in the total requirements for funds (both Federal and non-Federal) by object class categories.

Lines 6a-i — Show the totals of Lines 6a to 6h in each column.

Line 6j — Show the amount of indirect cost.

Line 6k — Enter the total of amounts on Lines 6i and 6j. For all applications for new grants and continuation grants the total amount in column (5), Line 6k, should be the same as the total amount shown in Section A, Column (g), Line 5. For supplemental grants and changes to grants, the total amount of the increase or decrease as shown in Columns (1)-(4), Line 6k should be the same as the sum of the amounts in Section A, Columns (e) and (f) on Line 5.

ASSURANCES — NON-CONSTRUCTION PROGRAMS

Note: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

I, _____, representative of the applicant I certify that the applicant:

1. Will have the authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States, and if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§ 4728-4763) relating to prescribed standards for merit systems for programs funded under one of the nineteen statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§ 1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. § 794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§ 6101-6107), which prohibits discrimination on the basis of age;
- (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§ 523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. 290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. § 3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made, and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply with the provisions of the Hatch Act (5 U.S.C. §§ 1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§ 276a to 276a-7), the Copeland Act (40 U.S.C. § 276c and 18 U.S.C. §§ 874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§ 327-333), regarding labor standards for federally assisted construction subagreements.

**SAUGET AREA 1 SITES
ENFORCEMENT ASSISTANCE
OBJECT CLASS CATEGORIES SUMMARY**

PERSONAL SERVICES

Position	Title	Annual Salary x	Work Year	=	Cost Nearest \$
SENIOR SUPERVISOR	ENVIR. PROT. ENGR. VI	65,652	0.01		657
FIRST LINE SUPERVISOR	ENVIR. PROT. ENGR. V	61,636	0.02		1,233
ATTORNEY	TECH. ADVISOR III	52,416	0.02		1,048
PROJECT MANAGER	ENVIR. PROT. ENGR. III	39,480	0.15		5,922
COMM. RELATION OFF.	ENVIR. PROT. SPEC. IV	53,904	0.02		1,078
TOTAL PERSONAL SERVICES			0.22		9,938

FRINGE BENEFITS:

SOCIAL SECURITY	7.65% x	9,938	760
RETIREMENT	6.20% x	9,938	616
GROUP INSURANCE	\$5,100 x	0.22	1,122
RETIREMENT PICKUP	4.00% x	9,938	398

TOTAL FRINGE BENEFITS

2,896

TRAVEL

	CHICAGO TRIPS	SITE DAY TRIPS	WEEK TRIPS
SENIOR SUPERVISOR	1	1	0
FIRST LINE SUPERVISOR	2	1	0
PROJECT MANAGER	5	5	0
COMM. RELATION OFF.	1	2	0
TOTAL	9	9	0

SPRINGFIELD/CHICAGO

TRANSPORTATION TO CHICAGO	\$177.00	9	1,593
LODGING	60.00	9	540
PER DIEM	24.00	9	216

SPRINGFIELD/SITE (DAY TRIP)

TRANSPORTATION TO SITE	\$50.00	9	450
LODGING	50.00	9	450
PER DIEM	24.00	9	216

TOTAL TRAVEL

3,465

EQUIPMENT	0
SUPPLIES (Tyvek, Booties)	100
CONTRACTUAL (Federal Express)	150
OTHER	0
CONSTRUCTION	0
TOTAL DIRECT CHARGES	16,549
INDIRECT CHARGES	
<i>Sum of Personal Services and Fring Benefits X .4212</i>	5,406
TOTAL INDIRECT	5,406
TOTAL	21,955

**SAUGET AREA 1 SITES
ENFORCEMENT ASSISTANCE
BUDGET**

	CURRENT APPROVED BUDGET	CHANGE REQUESTED BUDGET	NEW OR REVISED BUDGET
PERSONNEL	105,648	9,938	115,586
FRINGE BENEFITS	19,088	2,896	21,984
TRAVEL	24,033	3,465	27,498
EQUIPMENT	0	0	0
SUPPLIES	1,471	100	1,571
CONTRACTUAL	5,116	150	5,266
CONSTRUCTION	0	0	0
OTHER	5,407	0	5,407
TOTAL DIRECT	160,763	16,549	177,312
INDIRECT	63,595	5,406	69,001
TOTAL	224,358	21,955	246,313

SAUGET AREA 1 SITES
Cost per Task

1. Review of existing information	\$4,391
2. Issuance and review of 104(e) letters	\$4,391
3. Issuance of Special Notice Letters	\$1,098
4. Preparation for PRP negotiations	\$4,830
5. Negotiations with PRPs to initiate RI/FS	\$4,830
6. Community Relations	<u>\$2,415</u>
TOTAL	21,955

ENFO

SA 1 SITES

COOPERATIVE AGREEMENT

PURPOSE

The purpose of this Cooperative Agreement (CA) is to provide enforcement assistance to USEPA during the PRP identification/notification process and negotiation period that will follow. USEPA has agreed to accept the lead role in enforcement activities that will continue over the life of this CA.

IEPA has designated the following individual as the State Project Manager for the proposed Sauget Area 1 Sites NPL site:

Paul E. Takács, Project Manager
Federal Sites Management Unit
Division of Remediation Management
Bureau of Land
Illinois Environmental Protection Agency
2200 Churchill Road
Springfield, Illinois 62794-9276 217/785-3912

The work tasks to be performed under this CA are listed below:

A. Review of Existing Information

A complete and thorough review of IEPA division files will be performed. All IEPA file material including PRP documentation, historical title search data and information request responses from PRPs IEPA had identified in a 1989 State enforcement action will be researched and presented to USEPA.

B. Issuance and Review of 104(e) Information Request Letters

Information Request Letters will be prepared by the USEPA and PRP responses to these letters will be directed to USEPA. IEPA will assist in the preparation of the information request letters as well as the review of the PRP responses to the extent practicable. USEPA will be supplied with a list of names and addresses of the PRPs IEPA identified in 1989 in the order of owners, operators and generators or a combination of the three. IEPA understands that there are a series of standardized questions within these letters associated with owners, operators and generators and if requested, IEPA will also supply USEPA with specific questions that pertain to particulars of the site that may not be covered in the standardized questions.

1. Review of Information Request Letters and identification of additional PRPs

The responses from the information request letters will be reviewed by IEPA. IEPA will provide input as to whether PRP responses may appear to be insufficient or incorrect. USEPA may elect to issue additional letters to the PRPs stating such deficiencies and delinquencies. Based on information obtained in the 104(e) responses, IEPA may also recommend to USEPA that additional parties associated with this site be identified as PRPs.

2. Issue additional 104(e) letters (if necessary)

USEPA may issue subsequent rounds of 104(e) letters based on information obtained in the initial round of 104(e) letters. With the review of the second and subsequent rounds of 104(e) responses, IEPA will again provide input as to whether or not PRP responses appear to be insufficient or incorrect and may also recommend that additional parties be identified as PRPs. This process will continue on an on-going basis until it has reasonably been determined impractical by USEPA.

C. Issuance of Special Notice Letters

To the best of IEPA's ability, IEPA will evaluate and research all materials compiled as a result of tasks A and B and assist USEPA in generating a PRP list for the issuance of Special Notice Letters. It will be the sole responsibility of USEPA to draft the Special Notice Letters.

D. Preparation for PRP Negotiations

This task will involve major discussions regarding the responses to the 104(e) letters, Special Notice Letters and other documents relevant to the site. Information within these responses and documents may be used by USEPA for establishing negotiations with identified PRPs. This task may include but is not limited to:

1. Analyzing information provided by PRPs in response to Special Notice Letters. IEPA will review the responses for completeness and deficiencies. Information derived from this analysis will be submitted to USEPA prior to the start of negotiations with PRPs identified from Special Notice Letters.
2. Assisting USEPA in constructing other relevant information to help in organizing PRPs and preparing for USEPA negotiations with identified PRPs. This preparation would include reviewing relevant and applicable USEPA policies and guidance documents relative to the RI/FS negotiation process.

E. Negotiations with PRPs to Initiate an RI/FS

This task will involve detailed negotiations with identified and participating PRPs. During negotiations with PRPs, the following tasks may be performed and discussed but are not limited to:

1. Discussing information provided by the PRPs in response to the 104(e) letters and Special Notice Letters.
2. Discussing applicable policies and guidance documents.
3. Analyzing, reviewing and providing comments on samples, studies, and other scientific data that IEPA has performed.
4. Assessing current site conditions.
5. Participating in a negotiating team under an advisory role.
6. Performing file research to support negotiation efforts.
7. Reviewing PRP proposals and counter-proposals.
8. Providing advice on strengths and weaknesses of USEPA and PRP positions.
9. Analyzing proposed settlement alternatives.

F. Community Relations Support

Since initial enforcement efforts will be headed up by USEPA, it is appropriate that USEPA coordinate community relations activities associated with these enforcement efforts. Typical community relations activities may include site visits by USEPA community relations personnel and the preparation of fact sheets/press releases associated with enforcement efforts. IEPA community relations personnel will, at minimum, assist USEPA counterparts in gaining familiarity with the site as well as review and comment on draft fact sheets and draft press releases prepared by USEPA.

SCHEDULE OF TASKS

This schedule has been set up to match the work tasks described in this agreement. The tasks for actual work to be performed are mostly covered in Tasks A through F and are described below:

	<u>START</u>	<u>FINISH</u>
1) <u>Task A (Review of existing information</u>		
* File review, etc.	1/15/94	3/15/94

	<u>START</u>	<u>FINISH</u>
2) <u>Task B (Issuance and review of 104(e) letters)</u>		
* USEPA issue additional 104(e)s, if applicable and review responses.	3/16/94	continual process
3) <u>Task C (Issuance of Special Notice Letters)</u>		
* Special Notice mailing date.	9/15/94	
* Receiving possible Good Faith Proposal. (60 days)	9/16/94	11/15/94
4) <u>Task D (Preparation for PRP negotiations)</u>		
	6/15/94	continual process
5) <u>Task E (Negotiations with PRPs to initiate RI/FS)</u>		
* (If Good Faith Proposal is received by 11/15/94, extension for negotiations - 30 days)	9/16/94	12/15/94
6) <u>Task F (Community relations support)</u>		
	1/15/94	continual process

Approximate Cost Estimation of Each Task By Percentage of CA

1) Task A -----	20% of CA
2) Task B -----	20% of CA
3) Task C -----	5% of CA
4) Task D -----	22% of CA
5) Task E -----	22% of CA
6) Task F -----	11% of CA

OCT 04 1994

RA-19J

Mary A. Gade, Director
Illinois Environmental Protection Agency
P.O. Box 19276
Springfield, Illinois 62794-9276

Dear Ms. Gade:

I have reviewed your application of March 29, 1994, requesting an amendment to Cooperative Agreement (CA) No. V005982-01 to add \$21,955 for your Agency to provide Support Agency Services for the Sauget Area 1 Site. The enclosed CA amendment confirms my approval of your request.

Please sign, date and return the original and two copies of this amendment to the Contracts and Grants Branch within 21 days of receipt of this letter.

Thank you for your continued participation in the Superfund Program.

Sincerely yours,

/s/ Original signed by
ROBERT SPRINGER

Valdas V. Adamkus
Regional Administrator

Enclosure

RS for VVA
9/28

WEM
9/15/94

SPMB
14 Sep 94
BJK
9/13/94

4/12/94

9-28-94
9/17/94

UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
REGION V

SEP 15 1994

SUBJECT: **ACTION MEMORANDUM**: Request for Enforcement Support
Funds for the Illinois Environmental Protection Agency
for activities at the Sauget Area 1 site

FROM: William E. Muno, Director
Waste Management Division

TO: Valdas V. Adamkus
Regional Administrator

PURPOSE

The purpose of this action memo is to request authorization to provide funding to the Illinois Environmental Protection Agency (IEPA), for enforcement support activities at the Sauget Area 1 site in Sauget, Illinois. IEPA will provide support by assisting U.S. EPA with review of existing enforcement files to aid in identifying potentially responsible parties (PRPs), to whom 104E Information Request letters will be mailed by U.S. EPA, reviewing 104E responses from PRPs in an effort to assist U.S. EPA in determining which respondents should receive special notice letters, assisting U.S. EPA in negotiations with PRPs and will provide community relations support.

IEPA has requested that funding in the amount of \$21,955 be added to Cooperative Agreement No. V005982-01 to finance these support activities.

BACKGROUND

The Sauget Area 1 Site is located in Sauget, Cahokia County, Illinois. Sources at the site include portions of Dead Creek, as well as low lying areas in the vicinity used for waste disposal. The site is contaminated with various chlorinated solvents, dichlorobenzene compounds, polychlorinated biphenyls (PCB), polyaromatic hydrocarbons (PAH) and heavy metals. These and other hazardous wastes were disposed of at the site for decades.

RECOMMENDATION

This request has been coordinated with the appropriate State and Region 5 personnel, and the costs have been determined to be reasonable. I recommend that you authorize the funding of this amendment in the amount of \$21,955, which is available in the Region 5 Enforcement Case Budget.

Attached for your signature is the CA amendment which will obligate the funds for this project, and a transmittal letter making the award offer. I am available to discuss this request in more detail at your convenience.

[Handwritten notes:]

W.K.
SPMB
14 Sep 94

JAC
9/15/94

JAC
9/08/94

ICB
9/9/94

U.S. ENVIRONMENTAL PROTECTION AGENCY				1. ASSISTANCE ID NO. V 005982-01-G		2. LOG NUMBER 05-V -	
EPA ASSISTANCE AGREEMENT / AMENDMENT				3. DATE OF AWARD SEP 27 1994		4. MAILING DATE OCT 04 1994	
PART I - ASSISTANCE NOTIFICATION INFORMATION							
5. AGREEMENT TYPE				6. PAYMENT METHOD			
<input type="checkbox"/> Cooperative Agreement <input type="checkbox"/> Grant Agreement <input checked="" type="checkbox"/> Assistance				<input type="checkbox"/> Advance <input type="checkbox"/> Reimbursement <input checked="" type="checkbox"/> ACH Number <u>ACH-0504</u>			
				Send Payment Request to: COMPTROLLER BRANCH, MF-10J		7. TYPE OF ACTION INCREASE REVISION	
R E C I P I E N T O R G E P A C O N T A C T	8. RECIPIENT ILLINOIS EPA 2200 CHURCHILL ROAD SPRINGFIELD, IL 62706			9. PAYEE ILLINOIS EPA 2200 CHURCHILL ROAD SPRINGFIELD, IL 62706			
	EIN NO. 376002057		CONGRESSIONAL DISTRICT 20		10. RECIPIENT TYPE STATE, COMMONWEALTH, TER		
	11. PROJECT MANAGER AND TELEPHONE NO. JOHN STELLER (217) 782-6760			12. CONSULTANT (WWT Construction Grants only) N/A			
	13. ISSUING OFFICE (CITY / STATE) US ENVIRONMENTAL PROTECTION AGENCY CONTRACTS AND GRANTS BRANCH US EPA, REGION 5, MC-10J 77 W JACKSON BLVD CHICAGO, IL 60604-3590			14. EPA PROJECT / STATE OFFICER AND TELEPHONE NO. OAKS WASTE MANAGEMENT DIVISION HS-6J, (312) 886-6040			
15. EPA CONGRESSIONAL LIAISON & PHONE BARBARA BROOKS, (202) 260-5660			16. STATE APPL ID (Clearinghouse)		17. SCIENCE FIELD		18. PROJECT STEP (WWT Construction Grants Only) N/A
19. STATUTORY AUTHORITY CERCLA: SEC. 104			20. REGULATORY AUTHORITY 40 CFR PTS 31, 35 SUBPT O		21. STEP 2 + 3 & STEP 3 (WWT Construction Grants Only)		
					a. Treatment Level b. Project Type c. Treatment Process d. Sludge Dewatering		
22. PROJECT TITLE AND DESCRIPTION ADDITION OF NEW SITE SAUGET AREA 1 TO THE ENFORCEMENT MANAGEMENT COOPERATIVE AGREEMENT							
23. PROJECT LOCATION (Areas Impacted by Project)							
City / Place STATEWIDE		County MULTI		State IL		Congressional District MULTI	
24. ASSISTANCE PROGRAM (CFDA Program No. & Title) 66.802 Hazardous Substances Response Trust Fund				25. PROJECT PERIOD 09/15/87 - 09/30/95		26. BUDGET PERIOD 09/15/87 - 09/30/95	
27. COMMUNITY POPULATION (WWT Construction Grants Only) N/A		28. TOTAL BUDGET PERIOD COST \$246,313			29. TOTAL PROJECT PERIOD COST \$246,313		
FUNDS		FORMER AWARD		THIS ACTION		AMENDED TOTAL	
29. EPA Amount This Action		\$224,358		\$21,955		\$246,313	
31. EPA In-Kind Amount		0		0		0	
32. Unexpended Prior Year Balance		0		0		0	
33. Other Federal Funds		0		0		0	
34. Recipient Contribution		0		0		0	
35. State Contribution		0		0		0	
36. Local Contribution		0		0		0	
37. Other Contribution		0		0		0	
38. Allowable Project Cost		\$224,358		\$21,955		\$246,313	
FISCAL	Program Element	FY	Appropriation	Doc. Control No.	Account Number	Object Class	Obligation / Deobligation

(See continuation page)

39. FISCAL (continued)							
Site Name	Program Element	FY	Appropriation	Doc. Control Number	Account Number	Object Class	Obligation / Decobligation
SAUGET AREA 1	02) TGBY3A	94	6820X8145	SK0066	4TGB05FP4V	41.85	21,955

PART II - APPROVED BUDGET

SITE NAME: SUMMARY BUDGET

ASSISTANCE IDENTIFICATION: V 005982-01-G

Page 3 of 5

TABLE A - OBJECT CLASS CATEGORY (Non-construction)	TOTAL APPROVED ALLOWABLE BUDGET PERIOD COST
1. PERSONNEL	\$115,586
2. FRINGE BENEFITS	21,984
3. TRAVEL	27,498
4. EQUIPMENT	0
5. SUPPLIES	1,571
6. CONTRACTUAL	5,266
7. CONSTRUCTION	0
8. OTHER	5,407
9. TOTAL DIRECT CHARGES	\$177,312
10. INDIRECT COSTS: RATE 42.12 % BASE S + FB	69,001
11. TOTAL (Share: Recipient 0.00 % Federal 100.00 %.)	\$246,313
12. TOTAL APPROVED ASSISTANCE AMOUNT	\$246,313

TABLE B - PROGRAM ELEMENT CLASSIFICATION (Non-construction)	
1.	
2.	
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11.	
12. TOTAL (Share: Recipient % Federal %.)	
13. TOTAL APPROVED ASSISTANCE AMOUNT	

TABLE C - PROGRAM ELEMENT CLASSIFICATION (Construction)	
1. ADMINISTRATION EXPENSE	
PRELIMINARY EXPENSE	
2. LAND STRUCTURES, RIGHT-OF-WAY	
4. ARCHITECTURAL ENGINEERING BASIC FEES	
5. OTHER ARCHITECTURAL ENGINEERING FEES	
6. PROJECT INSPECTION FEES	
7. LAND DEVELOPMENT	
8. RELOCATION EXPENSE	
9. RELOCATION PAYMENTS TO INDIVIDUALS AND BUSINESS	
10. DEMOLITION AND REMOVAL	
11. CONSTRUCTION AND PROJECT IMPROVEMENT	
12. EQUIPMENT	
13. MISCELLANEOUS	
14. TOTAL (Lines 1 thru 13)	
15. ESTIMATED INCOME (if applicable)	
16. NET PROJECT AMOUNT (Line 14 minus 15)	
17. LESS: INELIGIBLE EXCLUSIONS	
18. ADD: CONTINGENCIES	
19. TOTAL (Share: Recipient % Federal %.)	
20. TOTAL APPROVED ASSISTANCE AMOUNT	

PART II - APPROVED BUDGET

SITE NAME: SAUGET AREA 1 10/1/84-9/30/

ASSISTANCE IDENTIFICATION: V 005982-01-Q

Page 4 of 5

TABLE A - OBJECT CLASS CATEGORY (Non-construction)	TOTAL APPROVED ALLOWABLE BUDGET PERIOD COST
1. PERSONNEL	\$9,938
2. FRINGE BENEFITS	2,896
3. TRAVEL	3,465
4. EQUIPMENT	0
5. SUPPLIES	100
6. CONTRACTUAL	150
7. CONSTRUCTION	0
8. OTHER	0
9. TOTAL DIRECT CHARGES	\$16,549
10. INDIRECT COSTS: RATE 42.12 % BASE S + FB	5,406
11. TOTAL (Share: Recipient 0.00% Federal 100.00%)	\$21,955
12. TOTAL APPROVED ASSISTANCE AMOUNT	\$21,955

TABLE B - PROGRAM ELEMENT CLASSIFICATION (Non-construction)	
1.	
2.	
3.	
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6.	
7.	
8.	
9.	
10.	
11.	
12. TOTAL (Share: Recipient % Federal %)	
13. TOTAL APPROVED ASSISTANCE AMOUNT	

TABLE C - PROGRAM ELEMENT CLASSIFICATION (Construction)	
1. ADMINISTRATION EXPENSE	
2. PRELIMINARY EXPENSE	
3. LAND STRUCTURES, RIGHT-OF-WAY	
4. ARCHITECTURAL ENGINEERING BASIC FEES	
5. OTHER ARCHITECTURAL ENGINEERING FEES	
6. PROJECT INSPECTION FEES	
7. LAND DEVELOPMENT	
8. RELOCATION EXPENSE	
9. RELOCATION PAYMENTS TO INDIVIDUALS AND BUSINESS	
10. DEMOLITION AND REMOVAL	
11. CONSTRUCTION AND PROJECT IMPROVEMENT	
12. EQUIPMENT	
13. MISCELLANEOUS	
14. TOTAL (Lines 1 thru 13)	
15. ESTIMATED INCOME (if applicable)	
16. NET PROJECT AMOUNT (Line 14 minus 15)	
17. LESS: INELIGIBLE EXCLUSIONS	
18. ADD: CONTINGENCIES	
19. TOTAL (Share: Recipient % Federal %)	
20. TOTAL APPROVED ASSISTANCE AMOUNT	

SPECIAL CONDITIONS (continued)

TERMS AND CONDITIONS

1. ALL PREVIOUSLY CITED TERMS AND CONDITIONS REMAIN THE SAME.

PART IV

NOTE: The Agreement must be completed in duplicate and the Original returned to the Grants Administration Division for Headquarters awards and to the appropriate Grants Administration Office for State and local awards within 3 calendar weeks after receipt or within any extension of time as may be granted by EPA.

Receipt of a written refusal or failure to return the properly executed document within the prescribed time, may result in the withdrawal of the offer by the Agency. Any change to the Agreement by the recipient subsequent to the document being signed by the EPA Award Official, which the Award Official determines to materially alter the Agreement, shall void the Agreement.

OFFER AND ACCEPTANCE

The United States of America, acting by and through the U.S. Environmental Protection Agency (EPA), hereby offers assistance/amendment to the ILLINOIS EPA for 100.00 % of all approved costs incurred up to and not exceeding \$ 246,313 for the support of approved budget period effort described in application (including all application modifications) cited in Item 22 of this Agreement

RECIPIENT ORGANIZATION
ASSISTANCE AMOUNT

3/28/94 ADDITION OF NEW SITE TO ENFORCEMENT MANAGEMENT, included herein by reference.
DATE AND TITLE

ISSUING OFFICE (Grants Administration Office)

ORGANIZATION / ADDRESS
CONTRACTS AND GRANTS BRANCH
US EPA, REGION 5, MC-10J
77 W JACKSON BLVD
CHICAGO, IL 60604-3590

AWARD APPROVAL OFFICE

ORGANIZATION / ADDRESS
REGIONAL ADMINISTRATOR
US EPA, REGION 5, R-19J
77 W JACKSON BLVD
CHICAGO, IL 60604-3590

THE UNITED STATES OF AMERICA BY THE U.S ENVIRONMENTAL PROTECTION AGENCY

SIGNATURE OF AWARD OFFICIAL

TYPED NAME AND TITLE

VALDAS V. ADAMKUS

DATE

REGIONAL ADMINISTRATOR, REGION 5

SEP 27 1994

This agreement is subject to applicable U.S. Environmental Protection Agency statutory provisions and assistance regulations. In accepting this award or amendment and any payments made pursuant thereto, (1) the undersigned represents that he is duly authorized to act on behalf of the recipient organization, and (2) the recipient agrees (a) that the award is subject to the applicable provisions of 40 CFR Chapter I, Subchapter B and of the provisions of this agreement (Parts I thru IV), and (b) that acceptance of any payments constitutes an agreement by the payee that the amounts, if any found by EPA to have been overpaid will be refunded or credited in full to EPA.

BY AND ON BEHALF OF THE DESIGNATED RECIPIENT ORGANIZATION

SIGNATURE

TYPED NAME AND TITLE

DATE

Mary A. Gade, Director

10/13/94